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TRANSMITTAL	Filing Date	
FORM	First Named Inventor	September 29, 2003
	Art Unit	Michael D. Hitchcock 2127
(to be used for all correspondence after initial filing)	Examiner Name	
		N/A
Total Number of Pages in This Submission	Attorney Docket Number	C069
ENG	CLOSURES (Check all the	at apply)
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Add  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):
SIGNATURE	OF APPLICANT, ATTORN	NEY, OR AGENT
Firm or Michael O. Scheinberg Individual name		
Signature //ww/Selvy	-	
Date 2/9/05		
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I hereby certify that this correspondence is being fac- sufficient postage as first class mail in an envelope a the date shown below.	simile transmitted to the USPTO oddressed to: Commissioner for Pa	or deposited with the United States Postal Service with atents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name Margarita Marquez-E	^ <b>u</b>	
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.:

10/673,674

ART UNIT: 2127

APPLICANT(S):

09/29/2003

EXAMINER: N/A

FILING DATE:

Michael D. Hitchcock

TITLE:

Universal Forms Engine

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is Form PTO SB08A with references listed for consideration by the Office. Copies of cited US patents are not provided in accordance with the waiver published in the August 5, 2003 Official Gazette.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

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that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits.

Respectfully submitted,

Date:  $\frac{2}{9/05}$ 

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Austin, Texas 78716-4140 Telephone: (512) 328-9510

Facsimile: (512) 306-1963

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/673,674				
Filing Date	09/29/2003				
First Named Inventor	Michael D. Hitchcock				
Art Unit	2127				
Examiner Name	N/A				
Attorney Docket Number	C069				

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		Number-Kind Code <sup>2 (if known)</sup>			Figures Appear
	S	<sup>US-</sup> 5,410,646	04/25/1995	Tondevold et al.	
	U	<sup>US-</sup> 5,490,243	02/06/1996	Millman et al.	
	С	<sup>US-</sup> 5,495,565	02/27/1996	Millard et al.	
	D	<sup>US-</sup> 5,666,502	09/09/1997	Capps	
	E	<sup>US-</sup> 5,704,029	12/30/1997	Wright, Jr.	
	F	<sup>US-</sup> 5,745,681	04/28/1998	Levine et al.	
	G	<sup>US-</sup> 5,758,126	05/26/1998	Daniels et al.	
	Н	<sup>US-</sup> 5,794,259	08/11/1998	Kikinis	
	Ī	<sup>US-</sup> 5,845,300	12/01/1998	Comer et al.	
	J	<sup>US-</sup> 5,890,175	03/30/1999	Wong et al.	
	K	<sup>US-</sup> 5,897,622	04/27/1999	Blinn et al.	
	L	<sup>US-</sup> 5,963,952	10/05/1999	Smith	
	М	<sup>US-</sup> 5,987,480	11/16/1999	Donohue et al.	
	N	<sup>US-</sup> 5,999,939	12/07/1999	De Hilster et al.	
	0	<sup>US-</sup> 6,028,938	02/22/2000	Malkin et al.	
	Р	<sup>US-</sup> 6,035,276	03/07/2000	Newman et al.	
	Q	<sup>US-</sup> 6,058,373	05/02/2000	Blinn et al.	
	R	<sup>US-</sup> 6,101,482	08/08/2000	DiAngelo	
	S	<sup>US-</sup> 6,199,079	03/06/2001	Gupta et al.	

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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Subst	itute for form 1449/PT	0		Complete if Known		
				Application Number	10/673,674	
INI		N DISC	OSLIDE	Filing Date	09/29/2003	
INFORMATION DISCLOSURE		First Named Inventor	Michael D. Hitchcock			
SI	ATEMENT	BY APP	PLICANT	Art Unit	2127	
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			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	S	<sup>US-</sup> 6,247,029	06/12/2001	Kelley et al.	
	U	<sup>US-</sup> 6,421,693	07/16/2002	Nishiyama et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т
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Substitut	te for form 1449/PTO			Complete if Known		
				Application Number	10/673,674	
INFO	DRMATION	DIS	CLOSURE	Filing Date	09/29/2003	
STA	STATEMENT BY APPLICANT		First Named Inventor	Michael D. Hitchcock		
(Use as many sheets as necessary)				Art Unit	2127	
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Sheet	3	of	3	Attorney Docket Number	C069	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	V	MARTIN R. FRANK and PEDRO SZEKELY, "Adaptive Forms: An Interaction Paradigm for Entering Structured Data," University of Southern California, IUI, 1998, pp. 153-160.	
	w	HOSSEIN SAJEDIAN and KA-WING WONG, "An Operational Model for Intelligent Forms in Office Automation," University of Nebraska and Eastern Kentucky University, ACM, 1995, pp. 415-419.	
	х	TING-JUN FAN, RONA S. MACHLIN, CHRISTOPHER P. WANG and IFAY F. CHANG, "FormPlus: A Form Authoring Toolkit," IBM T.J. Watson Research Center, IEEE, 1990, pp. 255-260.	
	Υ	ANTHONY B. DAYAO, KAMRAN RAFIEYAN, PIL J. LEE, RICHARD F. GRAVEMAN, YACOV YACOBI and ERIC J. ADDEO, "SuperForms: A Security-Enhanced Smart Electronic Form Management System," Bellcore, IEEE, 1990, pp. 1079-1083.	
	z	C. S. SANKAR, "A Method to Document Data Entry Forms," Temple University, ACM, 1984, pp. 89-91.	
	AA	LAWRENCE A. ROWE and KURT A. SHOENS, "A Form Application Development System," University of California, ACM, 1982, pp. 28-38.	
	ВВ	V. Y. LUM, D. M. CHOY and N. C. SHU, "Opas: An Office Procedure Automation System," IBM Systems Journal, Vol. 21, No. 3, 1982, pp. 327-350.	
	СС	D. TSICHRITZIS, "Form Management," ACM, Vol. 25, No. 7, 1982, pp. 453-478.	
	DD	"Custom Data Entry Form System for Software Applications," IBM Technical Disclosure Bulletin, April 1992, pp. 1-2.	
	EE	"Electronics Forms for the Dynamic Enterprise," JetForm FormFlow, Version 2.15.	

Examiner	Date	-
Signature	Considered	

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